

**2007 SPECIAL ELECTION  
MAY 15 PRIMARY & JULY 10 RUNOFF  
(39<sup>th</sup> STATE ASSEMBLY DISTRICT)**

<b>TYPE OF STATEMENT</b>	<b>PERIOD COVERED</b>	<b>FILING DEADLINE</b>	<b>APPLICABLE FILERS</b>
<b>Semi-annual statement<sup>1</sup></b>	___/___/06 <sup>2</sup> thru 12/31/06	1/31/07 (File paper by first class mail or personal delivery, and electronically, if required)	All filers or filer types
<b>\$5,000 online report<sup>3</sup></b>	Initial receipt of \$5,000 or more thru 2/13/07	10 business days (File online or electronically only)	Subject state assembly candidates
<b>\$1,000 online election-cycle report<sup>4</sup></b>	2/14/07 – 7/10/07	24 hours (File online or electronically only)	Subject state assembly candidates
<b>Special online independent expenditure report<sup>5</sup></b>	2/14/07 – 7/10/07	24 hours (File online or electronically only)	State PAC's & party committees as well as state major donors and independent expenditure committees
<b>1<sup>st</sup> Pre-election statement<sup>6</sup></b>	1/1/07 – 3/31/07	4/5/07 (File paper by first class mail or personal delivery, and electronically, if required)	Candidates and their controlled and related primarily formed committees as well as active party committees
<b>2<sup>nd</sup> Pre-election statement<sup>6&amp;7</sup></b>	4/1/07 – 4/28/07	5/3/07 (File paper by guaranteed overnight service or personal delivery, and electronically, if required)	Candidates and their controlled and related primarily formed committees as well as active party committees
<b>Late contribution-received report<sup>8</sup></b>	4/29/07 – 5/14/07	24 hours (File paper by fax, guaranteed overnight service or personal delivery; or only file online or electronically as specified in footnote #8)	All subject candidate and party committees (if the state candidate is subject to electronic late reports, there is no paper or fax requirement)

<b>Late contribution- <u>made</u> report<sup>8</sup></b>	4/29/07 – 5/14/07	24 hours (File paper by fax, guaranteed overnight service or personal delivery, and online or electronically, if required)	All subject committees
<b>Late independent expenditure report<sup>9</sup></b>	4/29/07 – 5/14/07	24 hours (File paper by fax, guaranteed overnight service or personal delivery, if not subject to electronic filing)	All subject committees (if subject to electronic or online filing requirements, there is no paper or fax requirement)
<b>Issue advocacy disclosure statement<sup>10</sup></b>	3/31/07 – 5/14/07	48 hours (File online only)	Any qualifying person
<b>Pre-runoff election<sup>6&amp;7</sup></b>	4/29/07 – 6/23/07	6/28/07 (File paper by guaranteed overnight service or personal delivery, and electronically, if required)	Candidates and their controlled and related primarily formed committees as well as active party committees
<b>Late contribution- <u>received</u> report<sup>8</sup></b>	6/24/07 – 7/9/07	24 hours (File paper by fax, guaranteed overnight service or personal delivery; or only file online or electronically as specified in footnote #8)	All subject candidate and party committees (if the state candidate is subject to electronic late reports, there is no paper or fax requirement)
<b>Late contribution- <u>made</u> report<sup>8</sup></b>	6/24/07 – 7/9/07	24 hours (File paper by fax, guaranteed overnight service or personal delivery, and online or electronically, if required)	All subject committees
<b>Late independent expenditure report<sup>9</sup></b>	6/24/07 – 7/9/07	24 hours (File paper by fax, guaranteed overnight service or personal delivery, if not subject to electronic filing)	All subject committees (if subject to electronic or online filing requirements, there is no paper or fax requirement)
<b>Issue advocacy disclosure statement<sup>10</sup></b>	5/26/07 – 7/9/07	48 hours (File online only)	Any qualifying person
<b>Semi-annual statement<sup>1</sup></b>	___/___/07 <sup>2</sup> thru 6/30/07	7/31/07 (File paper by first class mail or personal delivery, and electronically, if required)	All filers or filer types

## FOOTNOTES

<sup>1</sup> As a general rule, all recipient committees are subject to this semi-annual filing requirement, regardless of level or amount of activity. The electronic semi-annual statement must be transmitted or electronically filed by midnight of the filing deadline. Major donor and independent expenditure committees as well as slate mailer organizations are subject to this filing requirement if they meet a specified dollar threshold of activity.

<sup>2</sup> The period covered by any statement begins on the day after the closing date of the last campaign statement filed, or January 1, if no previous statement has been filed for the calendar year.

<sup>3</sup> All electronic state assembly committees that receive contributions of \$5,000 or more at any time other than during an election cycle are required to file an online/electronic 497 with the Secretary of State within 10 business days whenever the committee receives a monetary contribution, loan, or non-monetary contribution of \$5,000 or more. This is an electronic-only filing requirement. There is no paper or fax requirement.

<sup>4</sup> These reports are only subject to online/electronic filing requirements whenever the electronic assembly committee receives \$1,000 or more from 90 days prior to, and including the date of this special election. The current format of the 497 must be used to make these election-cycle online/electronic reports, providing that the filer has previously or concurrently met the \$50,000 electronic filing threshold. The election cycle is the period of time commencing 90 days prior to an election and ending on the date of the election. This is an electronic-only filing requirement. There is no paper or fax requirement for those who are subject to this electronic-only filing requirement.

<sup>5</sup> These reports are only subject to online/electronic filing requirements whenever the electronic filer makes independent expenditures of \$1,000 or more during the election cycle to support or oppose a state assembly candidate in this special election. The election cycle is the period of time commencing 90 days prior to an election and ending on the date of the election. The current format of the Form 496 must be used to make these election-cycle online/electronic reports, providing that the filer has previously or concurrently met the \$50,000 electronic filing threshold. The rules explained below (in footnote #9) for disclosing contributor information on the Form 496 apply here as well. This is an electronic-only filing requirement. There is no paper or fax requirement for those who are subject to this electronic-only filing requirement.

<sup>6</sup> Candidates (and their controlled committees) being voted upon in this special election are required to file pre-election statements, regardless of level or amount of activity. Party committees, including county central committees, are subject to pre-election and runoff statements, if contributions of \$1,000 or more are received or if they make aggregate contributions or independent expenditures of \$500 or more during a pre-election or pre-runoff period.

<sup>7</sup> Candidates (and their controlled committees) being voted upon in this special election must send the paper or hard copy of the 2<sup>nd</sup> pre-election statement and pre-runoff, if required, by personal delivery or guaranteed overnight mail. The electronic copy, if required, must be transmitted or electronically filed by midnight of the filing deadline.

<sup>8</sup> Late contribution reports are only subject to electronic/online filing requirements, if the state candidate committee receives \$1,000 or more during the election cycle, provided that the filer is subject to electronic filing requirements. State candidate committees, which are not subject to electronic filing requirements, are subject to 16-day paper/fax late contribution reports, if they receive late contributions of \$1,000 or more during the 16 days before an election.

Such 24-hour deadlines that fall on a weekend or state holiday (during the 16 days immediately preceding an election) are not extended to the next business day. A filer who makes a late in-kind contribution must notify the recipient in writing of the fair market value of the in-kind contribution within 24 hours, in addition to filing the late contribution report with filing officers within 24 hours. The recipient of a late in-kind contribution must file a late contribution report within 48 hours of obtaining the benefit, possession or control of the late in-kind contribution.

Additionally, candidates and committees that make contributions of \$1,000 or more during the 16 days immediately preceding an election to candidates being voted upon in this special are required to fax late contribution reports (or send by personal delivery or guaranteed overnight mail), regardless of whether the late contribution was filed online or electronically. If subject to electronic filing requirements, such donor late contribution reports must also be filed online/electronically in addition to the paper or faxed report.

Finally, party committees, including county central committees, which receive contributions totaling \$1,000 or more during the 16 days immediately preceding any state election, are subject to these late contribution reports.

<sup>9</sup> These reports are only subject to electronic or online filing requirements whenever the electronic filer makes independent expenditures of \$1,000 or more during the election cycle to support or oppose a state candidate in this special election. Committees which are not subject to electronic filing requirements are required to fax copies of late independent expenditure reports to applicable filing officers (or send by personal delivery or guaranteed overnight mail) during the 16 days immediately preceding the election.

Such 24-hour deadlines that fall on a weekend or state holiday (during the 16 days immediately preceding an election) are not extended to the next business day. The law requires subject filers to disclose all contributions of \$100 or more from the closing date of the last campaign statement on file (or January 1<sup>st</sup> if no previous campaign statement has been filed for the current calendar year) through the date of the late independent expenditure. Do not re-disclose the same contributor information on subsequent late independent expenditure reports. Such recipient committees must report both the late independent expenditure and all contributions received on the next regularly filed Form 460 -- Recipient Committee Campaign Statement.

A candidate's controlled committee may not make independent expenditures and may not contribute funds to another committee for the purpose of making independent expenditures to support or oppose other candidates.

<sup>10</sup> This state requirement only applies to persons who make payments of \$50,000 or more for "issue advocacy" communications within 45 days of a state election in connection with a clearly identified candidate for an elective state office, where such communications do not expressly advocate the election or defeat of that candidate. Unlike many electronic filing requirements, this state-level online-only form will not be subject to additional paper filing requirements.

Filers may log onto the Secretary of State's Political Reform web site at [www.ss.ca.gov](http://www.ss.ca.gov) to complete the Form E-530 online. A person who only makes a payment for such an Issue Advocacy Report from personal funds will not qualify as a major donor or recipient committee. Since this report is only filed in connection with a candidate for elective state/statewide office, the \$50,000 payment automatically qualifies the person as an online filer.

If the filer received a payment of \$5,000 or more, and the payment was earmarked for such a communication, the name, address, occupation and employer as well as the date and amount received from that person are required to be disclosed. This report can only be completed and viewed online – there is no paper or electronic, vendor-supported form.

**ADDENDUM:** Supplemental independent expenditure reports are filed at the same time and in the same locations as the object (affected candidate) of the independent expenditure. These reports are filed in addition to the Special Online Independent Expenditure Report or the Late Independent Expenditure Report. Please contact the FPPC or refer to their website regarding the filing requirements for PAC's, major donors and other committees that make contributions or independent expenditures in connection with this special election.